

Cornerstone Investor Representatives Registration and De-Registration Form

December 2020

Registration Form for Cornerstone Investor Representatives

Introduction

This form is to be completed by a **Trading Member** that wishes to register or de-register as a **Trading Member** representing a **Cornerstone Investor** of an **Issuer** admitted to trading on **IPsx Wholesale** (a “**Cornerstone Investor Representative**”).

Registration

By registering as a **Cornerstone Investor Representative**, the **Trading Member** agrees to abide by and follow the obligations of **Cornerstone Investor Representatives** as set out within the **IPsx Rules for Members**. Please note that there is no maximum time period that a **Cornerstone Investor Representative** may represent any individual **Cornerstone Investor** in a particular **financial instrument** however, it must do so for a minimum period of 90 days.

De-Registration

A **Cornerstone Investor Representative** wishing to de-register as a representative of a **Cornerstone Investor** may do so in accordance with the **IPsx Rules for Members** by giving a minimum of 30 days’ notice (subject to completion of the minimum period).

Queries

Please direct any queries regarding the completion of this form to the **IPsx Market Regulation** team:

regulation@ipsx.com

Send form to

Please complete and sign the application form and send a scanned copy to **regulation@ipsx.com**.

Cornerstone Investor Representative Registration/Deregistration

Register/ deregister:	ISIN:	Security Name:	Symbol:	Name(s) of Cornerstone Investor(s) represented	Effective Date:

This form must be signed by an **Authorised Employee** at the **Trading Member**

Trading Member name:	
Requestor (full name):	
Email:	

Signature:	Date:

Email to: regulation@ipsx.com

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