

Market Maker Registration and De-Registration Form

October 2022

IPsx Market Maker Registration and De-Registration Form

Introduction

This form is to be completed by an **IPsx Market Maker** for registration and/or de-registration of securities admitted to trading on **IPsx**.

Send form to

Completed forms should be returned to **IPsx Market Regulation**. Scanned copies of the form should be emailed to **regulation@ipsx.com**.

Registration

An **IPsx Market Maker** may request to register in any and as many **IPsx** securities as they see fit, in accordance with the **IPsx Rules for Members** under Part IV, section 3.

An application to register can be submitted to **IPsx** at any time, however, if the registration is to take effect on the next business day, the **IPsx Market Maker** will need to ensure that **IPsx Market Regulation** receives the request no later than 16:00 the previous day.

By the effective date of registration in an **IPsx** security the **IPsx Market Maker** agrees to abide and follow the obligations as set out within the **IPsx Rules for Members**, specifically Part IV, section 5.

De-Registration

An **IPsx Market Maker** wishing to De-register their obligations in an **IPsx** security may do so in accordance with the **IPsx Rules for Members** under Part IV, section 3.

- De-registration can only take effect 3 months after initial registration; and
- Re-registration in a security that the **IPsx Market Maker** had previously de-registered can only be implemented after 3 months from the previous de-registration.

Registration Form

ISIN	Security Name	Symbol	Effective Date

Member firm name:	
Submitted by (full name):	
Market Maker code:	
Email:	

Signature:		Date:	
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De-Registration Form

ISIN	Security Name	Symbol	Effective Date

Member firm name:	
Submitted by (full name):	
Market Maker code:	
Email:	

Signature:	Date:
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