

Market Maker Registration and De-Registration form

IPSEX Market Maker Registration and De-Registration Form

Introduction

This form is to be completed by an **IPSEX Market Maker** for registration and/or de-registration of securities admitted to trading on **IPSEX**.

Send form to

Completed forms should be returned to **IPSEX Market Regulation** via e-mail to the following address below. Only the relevant form/s need to be submitted.

IPSEX Market Regulation

International Property Securities Exchange
1 Farnham Road
Guildford
GU2 4RG

membership@ipsx.com

Registration

An **IPSEX Market Maker** may request to register in any and as many **IPSEX** securities as they see fit, in accordance with the **IPSEX Rules for Members** under Part IV, section 2 “**Registration Requirements for Market Makers**”.

An application to register can be submitted to **IPSEX** at any time, however, if the registration is to take effect on the next business day, the **IPSEX Market Maker** will need to ensure that **IPSEX Market Regulation** receives the request in the provided e-mail box no later than 16:00 the previous day.

By the effective date of registration in an **IPSEX** security the **IPSEX Market Maker** agrees to abide and follow the obligations as set out within the **IPSEX Rules for Members**, specifically Part IV, section 4.

De-Registration

An **IPSEX Market Maker** wishing to De-register their obligations in an **IPSEX** security may do so in accordance with the **IPSEX Rules for Members** under Part IV, section 2 “**De-registration as a Market Maker and Temporary Suspension of Market Making Obligations**”.

- de-registration can only take effect 3 months after initial registration; and
- re-registration in a security that the **IPSEX Market Maker** had previously de-registered can only be implemented after 3 months from the previous de-registration

Registration form

ISIN:	Security Name:	Symbol	Effective Date:

Member firm name:	
Submitted by (full name):	
Market Maker code:	
Email:	
Signature:	Date:

De-registration form

ISIN:	Security Name:	Symbol	Effective Date:

Member firm name:	
Submitted by (full name):	
Market Maker code:	
Email:	
Signature:	Date:



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